

## SUSPICIOUS TRANSACTION REPORT

Completed forms should be forwarded by hand, facsimile or courier to the Financial Intelligence Unit,  
3<sup>rd</sup> Floor, Norfolk House, Frederick Street, P.O. Box SB-50086 Nassau, The Bahamas  
Telephone No.: (242) 356-9808 or (242) 356-6327, Facsimile No.: (242) 322-5551

**For Official Use Only**

FIU Reference Number: \_\_\_\_\_

To: Financial Intelligence Unit – Fax: (242) 322-5551

Date: \_\_\_\_\_ No. of Pages: \_\_\_\_\_

NB: Persons who report suspicious transactions are required, pursuant to section 25 of the Financial Transactions Reporting Act, 2018 to provide the Financial Intelligence Unit with the following information:

### [A] Disclosing Institution

<b>Disclosure Type:</b>	Proceeds of Crime <input type="checkbox"/>	Report No.: _____
	Drug Trafficking <input type="checkbox"/>	Type of Transaction: _____
	Terrorism Finance <input type="checkbox"/>	_____
	Identified Risk <input type="checkbox"/>	_____
	Other <input type="checkbox"/>	_____

Name of Disclosing Institution: \_\_\_\_\_

Full Address: \_\_\_\_\_

Sort Code: \_\_\_\_\_

Name of Person Handling Transaction: \_\_\_\_\_

Name of Money Laundering Reporting Officer/Focal Point Officer: \_\_\_\_\_

Direct Telephone No.: \_\_\_\_\_ Fax: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

### [B] Subject(s) of Disclosure - Individual

Full Name (Individual): \_\_\_\_\_

Date and Place of Birth: \_\_\_\_\_

Occupation/Business/Principal activity: \_\_\_\_\_

Full Address: \_\_\_\_\_

Telephone No. (Work) \_\_\_\_\_ Telephone No. (Home): \_\_\_\_\_

Fax: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

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**[C] Subject(s) of Disclosure - Company**

Company Name: \_\_\_\_\_

Type of Business: \_\_\_\_\_

Full Address: \_\_\_\_\_

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Identification Documents (e.g., certificate of incorporation, memorandum and articles of association, etc. if available): \_\_\_\_\_

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**[D] Beneficial Owner(s)**

(of the assets being the subject(s) of disclosure – if different from the subject(s) of disclosure above)

Full Name: \_\_\_\_\_

Date and Place of Birth (Individual): \_\_\_\_\_

Type of Business/Occupation: \_\_\_\_\_

Full Address: \_\_\_\_\_

\_\_\_\_\_

Telephone No. (Work) \_\_\_\_\_ Telephone No. (Home): \_\_\_\_\_

Fax: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

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**[E] Authorized Signatories***Information on authorized signatories and/or persons with power of attorney. (List further persons in an annex in the same manner as required below)*

Full Name: \_\_\_\_\_

Date and Place of Birth (Individual): \_\_\_\_\_

Type of Business/Occupation: \_\_\_\_\_

Full Address \_\_\_\_\_

\_\_\_\_\_

Telephone No. (Work) \_\_\_\_\_ Telephone No. (Home): \_\_\_\_\_

Fax: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

**[F] Intermediaries**

Full Name (Individual): \_\_\_\_\_

Occupation: \_\_\_\_\_

Full

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone No. (Work) \_\_\_\_\_ Telephone No. (Home): \_\_\_\_\_

Fax: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

**[G] Account Information/Activity**

Type of Account: (e.g., individual/joint, trust, loan, etc.): \_\_\_\_\_

Account number: \_\_\_\_\_

Type of Currency: \_\_\_\_\_

Date Opened: \_\_\_\_\_

Date Closed: \_\_\_\_\_

Assets Held: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Jurisdiction Where Assets Are Held: \_\_\_\_\_

Other Accounts Held by any of the Parties Involved: \_\_\_\_\_

**REASONS FOR SUSPICION**

Details of Sums Arousing Suspicion Indicating Debit or Credit Source and Currency Used	Amount	Debit or Credit	Date	Source	Currency

Please describe the details of the transaction(s) and the activity that promoted the report, giving reason for your suspicion and any steps that have already been taken (e.g., own investigations). Include information on any third party(ies) involved (e.g., payee, payer, deliverer of checks, stocks, guarantee beneficiary, guarantee surety, third party security creditors). Please add continuation sheets as necessary.

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## STATISTICAL INFORMATION

Nature of Institution	Please tick	Grounds for Disclosure? <i>Please tick all that apply</i>	Please tick
Bank		Media / Publicity	
Fund Manager		Internet Research	
Bureau Des Changes		Group Information	
Stockbroker		3 <sup>rd</sup> Party Information	
Financial Advisor		Service of Production, Charging or Monitoring Order	
Insurance Company		Police enquiry	
Trust Company		Account Activity Not in Keeping with KYC	
Corporate Service Provider		Evidence of Forged Documentation	
Lawyer		Cash Transactions	
Accountant		Transitory Accounts – Immediate Layering	
Casino		High Risk Jurisdictions	
Real Estate Agent/Broker		Purchase and Surrender of Insurance Policy	
Credit Union		Unusual Forex Transactions	
Alternative Remittance		Repeat disclosures	
Local Regulator		Failure to comply with due diligence checks	
Other Regulator		Politically Exposed Persons (PEPs)	
Other (specify)		Other (specify)	
		<b>Criminality Suspected</b>	
<b>Customer/Transaction</b>		Drugs	
Involving at least one		Terrorism	
Long Standing Customer		Fraud	
New Customer		Attempted Fraud	
Electronic Banking		Revenue Fraud	
EURO Transaction		Insider Dealing	
Other (specify)		Corruption	
		Trafficking in Persons	
<b>What currency was</b>		Weapons and Ammunition Trafficking	
GBP		Ponzi Schemes and Lotteries	
USD		Possession, Theft and/or Trafficking in Stolen Gold or other precious metals	
EUR		Financing of Proliferation of Weapons of Mass	
CAD		United Nations Security Council Resolutions	
JPY		Illegal gambling	
BSD		Cyber crimes	
BRL		Regulatory Matters	
SEK		Tax Matters	
CHF		Unknown/undetermined	
Other (specify)		Other (specify)	

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